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TELEFAX

Date: May 18, 2004 Total pages: 9
To: Filing Receipt Corrections Telephone: (703) 308-1202 Telefax: (703) 746-9195
U.S. Patent and Trademark Office
From: Patrea L. Pabst, Esq. Telephone: (404) 879-2151 Telefax: (404) 879-2160
Our Docket No. MIT 9952 Client/Matter No. 701350/00207

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MESSAGE:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas J.F. Nieland, Monty Krieger and Tomas Kirchhausen
Serial No.: 10/681,746 Art Unit: 1646
Filed: October 8, 2003 Examiner: Not Yet Assigned
For: *COMPOUNDS FOR MODULATION OF CHOLESTEROL TRANSPORT*

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/681,748
	Filing Date	October 8, 2003
	First Named Inventor	Thomas J.F. Nieland
	Art Unit	1646
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	MIT 9952

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks _____ Certificate of Transmission is to: Filing Receipt Corrections at (703) 746-9195	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt; copy of Updated Filing Receipt with changes marked thereon; copy of Supplemental Application Data Sheet filed December 10, 2003
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Patricia L. Pabst, Esq., Reg. No. 31,284 Pabst Patent Group LLP 400 Colony Square, Suite 1200, Atlanta, GA 30361	
Signature		
Date	May 17, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Ronna Berman CHANDRA Russell	
Signature	Chandra Russell	Date May 18, 2004

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MIT 9952 - 701350/00207

PTO/SB/17 (10-03)

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 0.00

Complete If Known

Application Number	10/681,746
Filing Date	October 8, 2003
First Named Inventor	Thomas J.F. Nieland
Examiner Name	
Art Unit	1646
Attorney Docket No.	MIT 9952

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit
Account
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Deposit
Account
Name

50-3129

Pabst Patent Group LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20* =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES****Large Entity / Small Entity**

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1905 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1256 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1602 480	2502 240	Design issue fee	
1503 840	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent)	31,284	Telephone	(404) 879-2151
Signature		Date	May 17, 2004		

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MIT 9952 - 701350/00207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas J.F. Nieland, Monty Krieger and Tomas Kirchhausen

Serial No.: 10/681,746

Art Unit: 1646

Filed: October 8, 2003

Examiner: Not Yet Assigned

For: *COMPOUNDS FOR MODULATION OF CHOLESTEROL TRANSPORT*

VIA FACSIMILE - (703) 746-9195

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request correction of the Updated Filing Receipt mailed April 1, 2004, to correct the following errors.

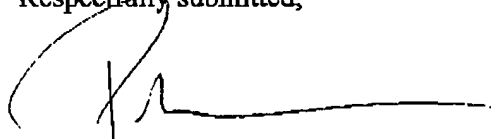
There are typographical errors in the names of the first and third inventors. The correct spellings are Thomas J.F. Nieland (not Neiland) and Tomas Kirchhausen (not Kirchausen).

A copy of the Filing Receipt with these changes noted thereon is enclosed, together with a copy of the Supplemental Application Data Sheet filed on December 10, 2003 showing the correct spellings.

U.S.S.N: 10/681,746
Filed: October 8, 2003
Request for Corrected Filing Receipt

No fees are due in connection with this Request For Corrected Filing Receipt. However, should any fee be deemed due, the Commissioner is hereby authorized to charge such fee, or credit any overpayment in connection with this matter, to Deposit Account No. 50-3129.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: May 17, 2004

PABST PATENT GROUP LLP
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/681,746	10/08/2003	1846	450	MIT 9952	4	17	2

23579

PATREA L. PABST
HOLLAND & KNIGHT LLP
SUITE 2000, ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET, N.E.
ATLANTA, GA 30309-3400

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PATENT DEPT.

CONFIRMATION NO. 8136

UPDATED FILING RECEIPT

OC000000012240767

Date Mailed: 04/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nieland

Thomas J.F. Nieland, Somerville, MA;
Monty Krieger, Needham, MA;
Tomas Kirchhausen, Brighton, MA;
Kirchhausen

Assignment For Published Patent Application

Massachusetts Institute of Technology;
Center for Blood Research, Inc.;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/417,083 10/08/2002

Foreign Applications

If Required, Foreign Filing License Granted: 01/22/2004

Projected Publication Date: 07/08/2004

Non-Publication Request: No

Early Publication Request: No

Docketed for

By: QPSDate: 4-16-04

COPY

**** SMALL ENTITY ******Title**

Compounds for modulation of cholesterol transport

Preliminary Class

530

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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